

0300

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> <b>Under 37 CFR 1.97(b) or 1.97(c)</b>		<b>Docket No.</b> 0127/1101.019	
In Re Application Of: <b>Jiang, et al</b>			
<b>Serial No.</b> 09/883,007	<b>Filing Date</b> 06/15/01	<b>Examiner</b>	<b>Group Art Unit</b>
<b>Title:</b> <b>CHOLESTERIC LIQUID CRYSTAL POLARIZING DEVICE</b>			
<p style="text-align: center;">Address to: <b>Assistant Commissioner for Patents</b> <b>Washington, D.C. 20231</b></p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

JAN 02 2002

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0127/1101.019

In Re Application of Jiang, et al

Serial No.

09/883,007

Filing Date

06/15/01

Examiner

Group Art Unit

CHOLESTERIC LIQUID CRYSTAL POLARIZING DEVICE

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0734 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

### Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

### Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Linda Abrahams

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: 11/12/01

Richard L. SAMPSON, 37,231

Sampson & Associates, P.C.

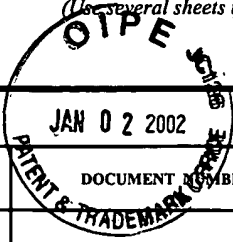
50 Congress Street

Boston, MA 02109

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

0127/1101.019

Application Number

09/883,007

Applicant(s)

Jiang, et al.

Filing Date

06/15/01

Group Art Unit

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		L. Li, S.M. Faris, <i>A Single-Layer Super Broadband Reflective Polarizer</i> , SID 96 Digest pp 110-113, (1996)
		A. Hochbaum, Y. Jiang, L. Li, S. Vartak, S. Faris, <i>Cholesteric Color Filters: Optical Characteristics, Light Recycling, and Brightness Enhancement</i> , SID 99 Digest pp 1063-1065 (1999)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.